EXPRESS MAIL LABEL NO.: EV 306256285 US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Chien-Hua Huang et al.

Serial No.: Not Known Yet Group Art Unit: Not Known Yet

Filed: 10/29/2003 Examiner: Not Known Yet

For: CIRCUIT AND METHOD FOR SELF-REFRESH OF DRAM CELL

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as "Express Mail Post Office to Addressee" Mailing Label Number EV 306256285 US, Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date

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Chris Lambriodes

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- [X] Together with the present application.
- [ ] Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- [ ] After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- [ ] After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

[x] No separate requirements are needed.

[x] No additional fee is required.

#### [ ] Fee Under 37 CFR § 1.97(c)

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

## [ ] Fee Under 37 CFR § 1.97(d)

The fee of \$180.00 for submission of an information disclosure statement under § 1.97(d) set forth in § 1.17(p) accompanies this statement.

## [ ] Certification Under 37 CFR § 1.97(e)

- () Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- () No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

#### **Provision of Copies of References**

[]	A copy of each of the non-U.S. patent references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.				
	[]	Partial Translation is enclosed herewith and form a part hereof.			
	[]	A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.			
	[]	A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.			

ATTORNEY DOCKET NO.: N1085-00212

[TSMC2003-0607]

# Identification of Prior Application(s) In Which Listed Information Was Alr ady Cited And For Which No Copies Ar Submitted Or Need B Submitted

- [ ] This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s).

  The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- [x] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. <u>04-1679</u>. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Williad H. Murray

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Sheet 1

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	Not Known Yet			
Filing Date	10/29/2003			
First Named Inventor	Chien-Hua Huang			
Art Unit	Not Known Yet			
Examiner Name	Not Known Yet			
Attorney Docket Number	N1085-00212			

Examiner Cite No.1		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	Number-Kind Code <sup>2 (# known)</sup>			Figures Appear	
	Α	<sup>US-</sup> 6,003,118	12-14-1999	Chen	
	В	<sup>US-</sup> 2002/0000581	01-03-2002	Yamasaki	
	С	<sup>US-</sup> 2002/0009010	01-24-2002	Hayakawa	
	D	<sup>US-</sup> 2002/0018387	02-14-2002	Nam et al.	
	Ε	<sup>US-</sup> 2003/0067827	04-10-2003	Janzen	
	F	<sup>US-</sup> 2003/0067828	04-10-2003	Janzen	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.